

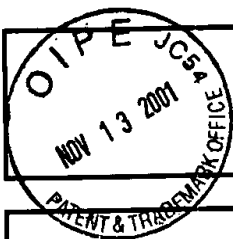
12-10-01

1773 CPA/1A

PTO/SB/29 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing.

CHECK BOX, if applicable

(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

☐ DUPLICATE

Address to:

Assistant Commissioner for Patents
Box CPA
Washington, D.C. 20231

Attorney Docket No.	42053.6USPT
First Named Inventor	Gunsel, et al.
Express Mail Label No.	EL663620829US
Total Pages	2

This is a request for a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d), (continued prosecution application (CPA)) of prior application number 09/534,282, filed on March 24, 2000, entitled "LUBRICANT FOR MAGNETIC RECORDING MEDIUM AND USE THEREOF" by Applicants Gunsel, et al.

NOTES

FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b) and filed on or after June 8, 1995, or (2) the national stage of an international application in compliance with 35 U.S.C. 371 and filed on or after June 8, 1995.

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

1. ☒ Enter the unentered Amendment previously filed on September 24, 2001 under 37 CFR 1.116 in the prior nonprovisional application.
2. ☐ A preliminary Amendment is enclosed.
3. ☐ This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4):
 - a. ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application: _____
 - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
4. ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
5. ☐ Information Disclosure Statement (IDS) is enclosed:
 - a. ☐ PTO-1449
 - b. ☐ Copies of IDS Citations

12/11/2001 JADD01 00000013 09534282

01 FC:131
02 FC:102
03 FC:103740.00 OP
168.00 OP
18.00 OP

(Page 1 of 2)

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box CPA, Washington, D.C. 20231.

Please type a plus sign (+) inside this box [+]

PTO/SB/29 (12/97)

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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c))	21 - 20 =	1	x \$ 18 =	\$18.00
	INDEPENDENT CLAIMS (37 cfr 1.16(b))	5 - 3 =	2	x \$ 84 =	\$ 168.00
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ _____ =	0
				BASIC FEE (37 CFR 1.16(a))	\$ 740.00
				Total of above Calculations =	\$926.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.9, 1.27, 1.28).				\$
				TOTAL =	\$926.00

6. Small entity status;
- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior nonprovisional application and such status is still proper and desired.
- c. ☐ is no longer claimed.
7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 10-0447:
- a. ☒ Fees required under 37 CFR 1.16.
- b. ☒ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.
8. ☒ Check(s) totaling \$926.00 is enclosed.
9. ☐ Any extension of time necessary for copendency is hereby requested, and the fee may be charged to Deposit Account No. 10-0447.
10. ☐ Enclosed is a Petition for a 1 month extension of time in the parent application in order to maintain copendency and a check in the amount of \$55.

NOTE:

The prior application's correspondence address will carry over to this CPA
UNLESS a new correspondence address is provided below.

11. NEW CORRESPONDENCE ADDRESS☐ Customer Number or Bar Code LabelOr ☐ New correspondence address below

(Insert Customer No. or attach bar code label here)

PATENT TRADEMARK OFFICE

NAME

ADDRESS

CITY

STATE:

ZIP CODE

COUNTRY

TELEPHONE

FAX

11. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

NAME

J. Benjamin Bai

Reg. No. 43,481

SIGNATURE

DATE